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PATENT
Docket No. 246152012710

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on April 12, 2002.

Garee A. Haney

#4
S.Q.J
5/2/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In the application of:

Wilhelmus Theodorus Antonius Maria DE
LAAT et al.

Serial No.: 09/982,474

Filing Date: October 17, 2001

For: FERMENTATIVE PRODUCTION OF
VALUABLE COMPOUNDS ON AN
INDUSTRIAL SCALE USING
CHEMICALLY DEFINED MEDIA

Examiner: R. WINSTON

Group Art Unit: 1651

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APR 25 2002

TECH CENTER 1600/2900

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents Nos. 8 and 10 are also submitted herewith. Copies of all other documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/367,029, filed October 25, 1999, now abandoned, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d)

04/24/2002 NM0HAMM1 00000066 031952 09982474

01 FC:126

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and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - A fee is required. A check in the amount of * is enclosed.
 - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

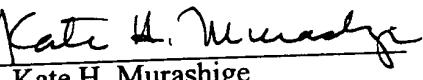
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 246152012710. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated. April 12, 2002

Respectfully submitted,

By:


Kate H. Murashige
Registration No. 29,959

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3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-5112
Facsimile: (858) 720-5125



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1651
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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

		Application Number	09/982,474
		Filing Date	October 17, 2001
		First Named Inventor	Wilhelmus DE LAAT
		Group Art Unit	1651
		Examiner Name	R. WINSTON
		Attorney Docket No.	246152012710

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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return postcard
	<input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement; PTO form 1449; and 2 references	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Kate H. Murashige Registration No. 29,959
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Signature	<i>Kate H. Murashige</i>
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Date	April 12, 2002
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Garee A. Haney
Garee A. Haney

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FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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JC106
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Complete if Known	
Application Number	09/982,474
Filing Date	October 17, 2001
First Named Inventor	Wilhelmus DE LAAT
Examiner Name	R. WINSTON
Group Art Unit	1651
Attorney Docket No.	246152012710

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
03-1952Deposit Account Name
Morrison & Foerster LLP Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.272. Payment Enclosed: Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
Total Claims * - 20** =	*	x	= \$	Petitions of the Commissioner	
Independent Claims - 3** =	*	x	= \$	Petitions related to provisional applications	
Multiple Dependent			= \$	Submission of Information Disclosure Stmt	180.00
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
103	18	203	9	Filing a submission after final rejection (37 CFR § 1.129(a))	
102	84	202	42	For each additional invention to be examined (37 CFR § 1.129(b))	
104	280	204	140	Request for Continued Examination (RCE)	
109	84	209	42	Request for expedited examination of a design application	
110	18	210	9	**Reissue independent claims over original patent	
146	740	246	370	**Reissue claims in excess of 20 and over original patent	
149	740	249	370	**Reissue claims in excess of 20 and over original patent	
179	740	279	370	Other fee (specify) _____	
169	900	169	900	SUBTOTAL (2) (\$*)	\$180.00

** or number previously paid, if greater; For reissues, see above.

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

Complete (if applicable)

SUBMITTED BY

Name (Print/Type)	Kate H. Murashige	Registration No. (Attorney/Agent)	29,959	Telephone	(858) 720-5112
Signature	<i>Kate H. Murashige</i>			Date	April 17 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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